

SEBI Clarifies Compliance Officer Position in LODR

The Securities and Exchange Board of India (SEBI) vide its **Circular No. SEBI/HO/CFD/PoD2/CIR/P/2025/47 dated April 1, 2025**, has clarified the position of Compliance Officers in listed entities, as per Regulation 6(1) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (LODR).

Addressing queries regarding the term “level,” SEBI clarified that a Compliance Officer must be one level below the Managing Director or Whole-time Director, or if absent, one level below the CEO or equivalent.

This interpretation aligns with the Companies Act, 2013, and aims to ensure that Compliance Officers hold a position of sufficient authority within the organizational structure to effectively perform their duties.

Link - https://www.sebi.gov.in/legal/circulars/apr-2025/clarification-on-the-position-of-compliance-officer-in-terms-of-regulation-6-of-the-sebi-listing-obligations-and-disclosure-requirements-regulations-2015_93186.html